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(54) Title: PRODUCTION OF 3-HYDROXYPROPIONIC ACID IN RECOMBINANT ORGANISMS

(57) Abstract: The production of 3-hydroxypropionic acid (3-HP) from glycerol in a bacterial host is described. 3-HP is a useful feedstock for the production of polymeric materials. The genetic engineering of a bacterial host with two enzymes is sufficient to enable production of 3-HP. One enzyme is a glycerol dehydratase and the other is an aldehyde dehydrogenase.

PRODUCTION OF 3-HYDROXYPROPIONIC ACID IN RECOMBINANT ORGANISMS

CROSS-REFERENCE TO RELATED APPLICATIONS

This application claims priority from U.S. Provisional Patent Application S.N.
5 60/151,440 filed August 30, 1999.

STATEMENT REGARDING FEDERALLY SPONSORED RESEARCH OR DEVELOPMENT

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application was supported by EPA grant R824726-01. The United States Government
10 may have certain rights in this invention.

BACKGROUND OF THE INVENTION

The technology of genetic engineering allows the transfer of genetic traits
between species and permits, in particular, the transfer of enzymes from one species to
others. These techniques have first reached commercialization in connection with high-
15 value added products such as pharmaceuticals. The techniques of genetic engineering
are equally applicable and cost effective when applied to genes and enzymes which can
be used to make basic chemical feedstocks.

A metabolic pathway of interest exists in the bacteria *Klebsiella pneumoniae*,
which has the ability to biologically produce 3 - hydroxypropionaldehyde from glycerol.
20 Native microorganisms have the ability to produce 1,3 - propanediol from glycerol as
well. Commercial interests are exploring the production of 1,3 - propanediol from
glycerol or glucose, in recombinant organisms which have been engineered to express
the enzymes necessary for 1,3 - propanediol production from other organisms.

3 - hydroxypropionic acid CAS registry Number [503-66-2] (abbreviated as 3-
25 HP) is a three carbon non-chiral organic molecule. The IUPAC nomenclature name for

this molecule is propionic acid 3 - hydroxy. It is also known as 3 - hydroxypropionate, β - hydroxypropionic acid, β - hydroxypropionate, 3 - hydroxypropionic acid, 3 - hydroxypropanoate, hydracrylic acid, ethylene lactic acid, β -lactic acid and 2 - deoxyglyceric acid. Applications of 3-HP include the manufacture of absorbable
5 prosthetic devices and surgical sutures, incorporation into beta-lactams, production of acrylic acid, formation of trifluoromethylated alcohols or diols, polyhydroxyalkonates, and co-polymers with lactic acid. 3-HP for commercial use is now commonly produced by organic chemical syntheses. The 3-HP produced and sold by these methods is relatively expensive, and it would be cost prohibitive to use it for the production of
10 monomers for polymer production. As discussed below, some organisms are known to produce 3-HP. However, there is not yet available a catalog of genes from these organisms and thus the ability to synthesize 3-HP using the enzymes natively responsible for the synthesis of that molecule in the native hosts which produce it does not now exist.

15 In addition to its commercial utility, 3-HP it is found in a number of biological processes, notably including many naturally occurring bio-polymers. Poly(3 - hydroxybutyrate) (PHB) is the most abundant member of the microbial polyesters which contain hydroxy monomers termed polyhydroxyalkonates (PHAs). PHB has utility as a biodegradable thermoplastic material and the material was first produced industrially in
20 1982.

The majority of published research on PHA's that contain 3-HP has concentrated on two bacterial sources: *Ralstonia eutropha* ("*Alcaligenes eutrophus*") and *Pseudomonas oleovorans*. Both *Ralstonia eutropha* and *Pseudomonas oleovorans* are able to grow on a nitrogen free media containing 3 - hydroxy - propionic acid, 1,5 -
25 pentanediol or 1,7 - heptanediol. When 3-HP is the major hydroxy-acid added to the growth media, poly(3 - hydroxybutyrate - co - 3 - hydroxypropionic acid) is formed containing 7 mol % 3 - hydroxypropionic acid. These cells also store 3 mol %, 3 - hydroxypropionic acid poly(3 - butyrate - co - 3 - hydroxypropionic acid).

Recombinant systems have been used to create PHAs. An *E. coli* strain
30 engineered to express PHA synthase from either *Ralstonia eutropha* or *Zoogloea ramigera* produced poly(3 - hydroxypropionic acid) when feed 1,3 - propanediol.

Skraly, F. A. "Polyhydroxyalkanoates Produced by Recombinant *E. coli*." Poster at Engineering Foundation Conference: Metabolic Engineering II, 1998. An *E. coli* strain that expressed PHA synthase (MBX820), when provided with the genes encoding glycerol dehydratase and 1,3 - propanediol dehydratase from *K. pneumonia*, and 4 -
 5 hydroxybutyral- CoA transferase from *Clostridium kluyveri*, synthesized PHB from glucose.

Glycerol dehydratase, found in the bacterial pathway for the conversion of glycerol to 1,3 - propanediol, catalyzes the conversion of glycerol to 3 - hydroxypropionaldehyde and water. This enzyme has been found in a number of
 10 bacteria including strains of *Citrobacter*, *Klebsiella*, *Lactobacillus*, *Enterobacter* and *Clostridium*. In the 1,3 - propanediol pathway a second enzyme 1,3 - propanediol oxido-reductase (EC 1.1.202) reduces 3 - hydroxypropanaldehyde to 1,3 - propanediol in a NADH dependant reaction. The pathway for the conversion of glycerol to 1,3 - propanediol has been expressed in *E. coli*. Tong et al., Applied and
 15 Environmental Microbiology 57 (12) 3541-3546. The genes responsible for the production of 1,3 - propanediol were cloned from the *dha* regulon of *Klebsiella pneumoniae*. Glycerol is transported into the cell by the glycerol facilitator, and then converted into 3 - hydroxy - propionaldehyde by a coenzyme B₁₂- dependent dehydratase. *E. coli* lacks a native *dha* regulon, consequently *E. coli* cannot grow
 20 anaerobically on glycerol without an exogenous electron acceptor such as nitrate or fumarate.

Aldehyde dehydrogenases are enzymes that catalyze the oxidation of aldehydes to carboxylic acids. The genes encoding non-specific aldehyde dehydrogenases have been identified in a wide variety of organisms e.g.; *ALDH2* from *Homo sapiens*, *ALD4*
 25 from *Saccharomyces cerevisiae*, and from *E. coli* both *aldA* and *aldB*, to name a few. These enzymes are classified by co-factor usage, most require either AND⁺, or NADP⁺ and some will use either co-factor. The genes singled out for mention here are able to act on a number of different aldehydes and it likely that they may be able to oxidize 3 - hydroxy - propionaldehyde to 3 - hydroxypropionic acid.

BRIEF SUMMARY OF THE INVENTION

The present invention is intended to permit the creation of a recombinant microbial host which is capable of synthesizing 3-HP from a starting material of glycerol or glucose. The glycerol or glucose is converted to 3 -

- 5 hydroxypropionaldehyde (abbreviated as 3-HPA) which is then converted to 3-HP. This process requires the so-called *dhaB* gene from *Klebsiella pneumoniae* which encodes the enzyme glycerol dehydratase any one of four different aldehyde dehydrogenase genes to convert 3-HPA to 3-HP. The four aldehyde dehydrogenase genes used were *aldA* from the bacterium *E. coli*, *ALDH2* from humans, *ALD4* from the
- 10 yeast *Saccharomyces cerevisiae*, and *aldB* from *E. coli*. The yeast gene appeared to give the best results.

It is an object of the present invention to provide a genetic construct which encodes glycerol dehydratase and aldehyde dehydrogenase enzymes necessary for the production of 3 - hydroxypropionic acid from glycerol.

- 15 It is also an object of the present invention to provide a method for the production of 3 - hydroxypropionic acid from glycerol.

Other features and advantages of the invention will be apparent from the following description of the preferred embodiment thereof and from the claims.

20 BRIEF DESCRIPTION OF THE SEVERAL VIEWS OF THE DRAWINGS

Not applicable.

DETAILED DESCRIPTION OF THE INVENTION

It is disclosed here that it is possible to introduce into a bacterial host genes encoding two enzymes and thus confer upon that host the ability to produce 3-HP from

- 25 glycerol. The two necessary enzymes are glycerol dehydratase and aldehyde dehydrogenase. It is here reported that the two enzymes are both necessary and sufficient to enable a strain of a suitable host, such as a competent *E. coli* strain, to make 3-HP from glycerol. An exemplary gene encoding a glycerol dehydratase is known, the *dhaB* gene from *Klebsiella pneumoniae*, sequenced and rendered convenient to use.

- 30 Several exemplary aldehyde dehydrogenases are known, and their sequences are

presented here. From this information, it becomes practical to confer upon a bacterial host the ability to convert glycerol into 3-HP in a commercially reasonable manner.

It was not apparent before the completion of the work described here that these two diverse enzymes could be produced in a common host to produce the ability to
5 make 3-HP. There are many known aldehyde dehydrogenase enzymes and genes, and the enzymes are known to have varying substrate specificities and efficiencies. There was not evidence, prior to the work described here, that the aldehyde dehydrogenase enzyme would work on the 3-hydroxypropionaldehyde (3-HPA) substrate to create 3-HP. Without that knowledge, there was no data from which to predict the effectiveness
10 of the 3-HP production studies described below. An additional uncertainty arises from the fact that the intermediate aldehyde, 3-HPA, is toxic to many bacterial host and thus the survival of the host is dependent upon the relative rates of enzymatic production and conversion of the aldehyde intermediate to non-toxic 3-HP.

A difficulty in the realization of the production of 3-HP desired here is that
15 ribosome binding sites from non-native hosts are often ineffectual and lead to poor protein production and that many non-native promoters are often poorly transcribed and a bar to high protein expression. However, the inventors also recognized that a non-native promoter that is known to be very active and is inducible by the addition of a small molecule unrelated to the pathway being expressed is often a very efficient way to
20 express and regulate the levels of enzymes expressed in hosts such as *E. coli*. To achieve high levels of regulated gene expression plasmids were constructed which placed the expression of all exogenous genes necessary for the production of 3 - hydroxypropionic acid from glycerol under the regulation of the *trc* promoter. The *trc* promoter, is efficient, not native to *E. coli*, and inducible by the addition of IPTG.

25 The present specification describes a genetic construct for use in the production of 3 - hydroxypropionic acid from glycerol. The genetic construct includes exemplary DNA sequences coding for the expression of a glycerol dehydratase and a DNA sequence coding for aldehyde dehydrogenase. The set of exemplary sequences necessary for the expression of glycerol dehydratase is collectively referred to as
30 "*dhaB*". The set of sequences necessary for the expression of aldehyde dehydrogenase includes any one of four different genes which proved efficacious. The individual

aldehyde dehydrogenase sequences referred to individually as *ALDH4*, *ALD2*, *aldA* and *aldB*.

Producing 3 - hydroxypropionic acid in a foreign host

In the work described below, the enzymes necessary for the production of 3 - hydroxypropionic acid from glycerol in *E. coli* were expressed under the regulation of the *trc* promoter, a non-native promoter inducible by the addition of IPTG. The glycerol dehydratase was encoded by the *dhaB* gene from *Klebsiella pneumoniae*, the aldehyde dehydrogenases used was any one of four different genes (*ALDH2* from *Homo sapiens*, *ALD4* from *S. cerevisiae*, *aldB* from *E. coli* or *aldA* from *E. coli*). Expression of these genes coding for glycerol dehydratase and any one of the genes encoding an aldehyde dehydrogenases was sufficient to enable the construct to produce 3-HP when the fermentation media was supplemented with glycerol. In all of these constructs, the *dhaB* gene was downstream from the gene encoding the aldehyde dehydrogenase used, and expression of both genes was regulated by the *trc* promoter. This order, however, is not required and the order of the gens on a construct and the use of multiple constructs is possible.

In a minimal genetic construct made based on the data presented here, the only genetic elements present that would be necessary are the structural genes *dhaB* and an aldehyde dehydrogenase gene encoding a protein that efficiently catalyzes the oxidation of 3-hydroxypropionaldehyde to 3-hydroxypropionic acid, and non-native promoter sequences specifically selected to give the type of inducible control most appropriate for the context of the process in which the construct is to be used. Extraneous pieces of DNA, whether retained in the construct or added from other DNA sequences, would not necessarily be detrimental to effective 3-HP synthesis by the host organism, but would not be needed. Each sequence to be translated would necessarily be preceded by a ribosome binding site, functional in the selected host so that the messenger RNA(s) coding for the proteins of interest could be translated by ribosomes. Terminator sequences immediately downstream of each translated unit would also be necessary in some organisms, particularly in eukaryotes. The construct could be part of an autonomously replicating sequence, such as a plasmid or phage vector, or could be

integrated into the genome of the host.

The structural genes and appropriate promoter(s) could be isolated by the use of restriction enzymes, by the polymerase chain reaction (PCR), by chemical synthesis of the appropriate oligonucleotides, or by other methods apparent to those skilled in the art or molecular biology. The promoter(s) would be derived from genomic DNA of other
5 organism or from artificial genetic constructs containing promoters. Appropriate promoter fragments would be ligated into the construct upstream of the structural genes in any one of several possible arrangements.

The aldehyde dehydrogenase expressed would have: high specific activity
10 towards 3-hydroxypropionaldehyde; be very stable in the host it is expressed in; be readily over expressed in the selected host; not be inhibited by either the substrates necessary for the reaction or the products formed by the reaction; be fully active under the fermentation conditions most favorable for the production of 3 - hydroxypropionic acid and be able to use either NAD⁺ or NADP⁺.

15 One possible arrangement is the true operon, where one promoter is used to direct transcription in one direction of all necessary Open Reading Frames (ORFs). The entire message is then contained in one messenger RNA. The advantages of the operon are that it is relatively easy to construct, since only one promoter is needed; that it is relatively simple to replace the promoter with another promoter if that would be
20 desirable later; and that it assures that the two genes are under the same regulation. The main disadvantage of the operon scheme is that the levels of the expression of the two genes cannot be varied independently. If it is found that the genes, for optimal 3 - hydroxypropionic acid synthesis, should be expressed at different levels, the operon in most cases cannot be used to realize this.

25 Another possible arrangement is the multiple-promoter scheme. Two or more promoters, with the same or distinct regulatory behavior, could be used to direct transcription of the genes. For example, one promoter could be used to direct transcription of *dhaB* and one to direct transcription of the gene encoding the appropriate aldehyde dehydrogenases. Because the genes theoretically can be
30 transcribed and translated separately, a great number of combinations of multiple promoters is possible. Additionally, it would be most desirable to prevent the promoters

from interfering with one another. This could be achieved either by placing two promoters into the construct such that they direct transcription in opposite directions, or by inserting transcriptional terminator sequences downstream of each separately transcribed unit. The main advantage of the multiple-promoter construct is that it permits independent regulation of as many distinct units as desired, which could be important. The disadvantages are that it would be more difficult to construct; more difficult to amend later; and more difficult to effectively regulate, since multiple changes in fermentation conditions would need to be introduced and might render the performance of the fermentation somewhat less predictable.

10 In any construct, the promoter sequence(s) used should be functional in the selected host organism and preferably provide sufficient transcription of the genes comprising the glycerol to 3 - hydroxypropionic acid pathway to enable the construct to be adequately active in that host. The promoter sequence(s) used would also effect regulation of transcription of the genes enabling the glycerol to 3-HP pathway to be
15 adequately active under the fermentation conditions employed for 3-HP production, and preferably they would be inducible, such that expression of the genes could be modulated by the inclusion in, or exclusion from, the fermentation of a certain agents or conditions.

A plausible example of the use of such a construct follows: one promoter, which
20 induced by the addition of an inexpensive chemical (the inducer) to the medium, could control transcription of both the *dhaB* gene and the gene encoding the appropriate aldehyde dehydrogenase. The cells would be permitted to grow in the absence of the inducer until they accumulated to a predetermined level. The inducer would then be added to the fermentation and nutritional changes commensurate with the altered
25 metabolism would be made to the medium as well. The cells would then be permitted to utilize the substrate(s) provided for 3-HP production (and additional biomass production if desired). After the cells could no longer use substrate to produce 3-HP, the fermentation would be stopped and the 3-HP recovered.

Genetic Sequences

30 To express glycerol dehydratase and a suitable aldehyde dehydrogenase, the two

enzymes necessary for the production of 3 - hydroxypropionic acid from glycerol, it is required that the DNA sequences containing the glycerol dehydratase and aldehyde dehydrogenase coding sequences be combined with at least a promoter sequence (preferably a non-native promoter although some native promoter activity may be present). An exemplary method of construction is described in the example below. To ensure that the present specification is enabling, the full sequences of the coding regions of genes for these enzymes is presented here.

Sequences 1, 3, 5 and 7 present different native genomic sequences for genes encoding aldehyde dehydrogenases.

10 SEQ ID NO:1 contains the full native DNA sequence encoding the *ALD4* enzyme from *Saccharomyces cerevisiae*. The amino acid sequence of the protein is presented as SEQ ID NO:2.

SEQ ID NO:3 includes the DNA sequence for the human *ALDH2* gene, again including the full protein coding region. The amino acid sequence for this human alcohol dehydrogenase is presented in SEQ ID NO:4.

15 SEQ ID NO:5 and 7 respectively present the full coding sequences from the *E. coli* genes *aldA* and *aldB*, both of which encode alcohol dehydrogenases. The amino acid sequences for the proteins encoded by the genes are presented in SEQ ID NO: 6 and 8 respectively.

20 SEQ ID NO:9 contains the native genomic DNA sequence for the *dhaB* gene from the *dha* regulon of *Klebsiella pneumoniae*. The coding sequences for this complex regulon produces five polypeptides, which are presented as SEQ ID NOS:10 through 13, which together provide the activity of the glycerol dehydratase enzyme.

Each of these coding sequences can be used to make genetic constructs for the expression of the appropriate enzymes in a heterologous hosts. In making genetic constructs for expression of the genes in such hosts, it is contemplated that heterologous promoters will be joined to the coding sequences for the enzymes, but all that it required is that the promoters be effective for the hosts in which the genes are to be expressed. It is also contemplated and envisioned that significant variations in DNA sequence are possible from the native DNA coding sequences presented here. As is well known in the art, due to the degeneracy of the genetic code, many different DNA sequences can

encode the expression of the same protein. So, when this document uses language specifying a DNA sequence encoding a protein, it is intended to encompass any DNA sequence which can be used to express that protein even if different from the genomic sequences presented here. It is also contemplated that conservative changes in the amino acid sequences of the proteins specified here can be made without departing from the present invention. In particular, deletions, additions and substitutions of one or more amino acids in a protein sequence can almost always be made without changing protein functionality. When the name of a protein is used here, it is intended to be equally applicable to both such minor changes in amino acid sequence and to allelic variations in native protein sequence as occurs within the species named as well as other closely related species.

It is possible that many of the above DNA sequences could be truncated and still express a protein that has the same enzymatic properties. One skilled in the art of molecular biology would appreciate that minor deletions, additions and mutations may not change the attributes of the designated base pair sequences; many of the nucleotide of the designated base pair sequences are probably not essential for their unique function. To determine whether or not an altered sequence or sequences has sufficient homology with the designated base pairs to function identically, one would simply create the candidate mutation, deletion or alteration and create a gene construct including the altered sequence together with promoter and termination sequences. This gene construct could be tested as, described below, for the production of 3-HP from glycerol.

Certain DNA primers were used to isolate or clone the genomic DNA sequences used in the experiments described below. While the sequence information presented here is sufficient to enable the construction of expression plasmids incorporating the genes identified here, in order to redundantly enable the use of these genes, primers which may be used to isolate the genes from their native hosts are described below.

The primers *aldA_L* (SEQ ID NO:14), and *aldA_R* (SEQ ID NO:15), were used to amplify the 1513 bp *aldA* fragment from genomic *E. coli* DNA (strain MG1655, a gift from the Genetic Stock Center, New Haven, CT). The gel purified PCR fragment containing a DNA sequence coding for the expression of aldehyde dehydrogenase was

inserted into *NcoI-XhoI* site of pSE380 (Invitrogen, San Diego, CA) to give pPFS3. The resulting plasmid contained *aldA* under the control of the *trc* promoter. This construct allowed for high-level expression of the *aldA* gene from *E. coli* under regulation of the *trc* promoter. Unless indicated otherwise all molecular biology and plasmid

5 constructions were done in *E. coli* AG1 (Stratagene, La Jolla, CA).

The primers aldB_L (SEQ ID NO:20) and aldB_R (SEQ ID NO:21), were used to amplify the 1574 bp *aldB* fragment from genomic *E. coli* DNA (strain MG1655). The resulting PCR converted the TGA stop codon into a TAA stop codon. The gel-purified PCR fragment containing the DNA sequence sufficiently coding for the

10 expression of aldehyde dehydrogenase was inserted into the *KpnI-SacI* site of pSE380 to give pPFS12.

The primers ALD4_L (SEQ ID NO : 16), and ALD4_R (SEQ ID NO : 17), were used to amplify the 1595 bp ALD4 fragment from *S. cerevisiae* DNA (strain YPH500). The gel-purified fragment containing a DNA sequence coding for the expression of

15 aldehyde dehydrogenase was inserted into the *KpnI-SacI* site of pPFS3 to give pPFS8. The resulting plasmid contained mature *ALD4* under control of the *trc* promoter.

The primers ALDH2_L (SEQ ID NO:18), and ALDH2_R (SEQ ID NO:19), were used to amplify the 1541 bp ALDH2 fragment from pT7-7::ALDH2, a gift from H. Weiner (Purdue University, West Lafayette, IN). The gel purified PCR fragment

20 containing a DNA sequence sufficiently homologous to base pairs 22 to 1524, inclusive of SEQ ID NO : 3 so as to code for the expression of aldehyde dehydrogenase was inserted in to the *KpnI-SacI* site of pSE380 to give pPFS7. This sequence was moved from pPFS7 into the *KpnI-SacI* site of pPFS3 to give pPFS9. The resulting plasmid contained mature ALDH2 under the control of the *trc* promoter.

25 The primers pTRC_L (SEQ ID NO:22), and pTRC_R (SEQ ID NO:23), were used to amplify the 540 bp fragment from pSE380. The gel purified PCR fragment was inserted into the *HpaI-KpnI* site of pPFS3 to give pPFS13. The resulting plasmid deleted the "native" ribosome binding site of pSE380 and a *NcoI* site (which contained an extraneous ATG start codon upstream of the cloned genes). The *KpnI-SacI*

30 fragments of pPFS8, pPFS9, and pPFS12 were inserted into the *KpnI-SacI* site of pPFS13 to give pPFS14, pPFS15, and pPFS16, respectively.

Assay for production of 3-HP

The efficacy of changes made as contemplated herein can be checked by the following tests. To test for the production of 3-HP, fermentation products can be quantified with a Waters Alliance Integrity HPLC system (Milford, MA) equipped with
5 a refractive index detector, a photodiode array detector, and an Aminex HPX-87H (Bio-Rad, Hercules, CA) organic acids column. The mobile phase should be 0.01 N sulfuric acid solution (pH 2.0) at a flow rate of 0.5 mL/min. The column temperature should be set to 40°C. Compounds can be identified by determining if they co-elute with authentic standards. Prior to analysis, all samples should be filtered through 0.45 µM
10 pore size membrane. (Gelman Sciences, Ann Arbor, MI). The fractions of the fermentation products collected using HPLC should be analyzed on a Varian Star 3400 CX, gas - chromatograph coupled to a Varian Saturn 3 mass spectrometer (GC-MS) (Walnut Creek, CA).

Assay for enzyme activity.

15 Aldehyde dehydrogenase activity can be determined by measuring the reduction of β -NAD⁺ at 25°C with 3 - hydroxypropionaldehyde as a substrate. All buffers should contain 1 mM ethylenediaminetetraacetic acid (EDTA), 0.1 mM Pefabloc SC (Boehringer Mannheim, Indianapolis, IN) and 1 mM Tris (carboxyethyl) phosphine hydrochloride (TCEP-HCL). For ALD4, the solution should contain 100 mM Tris HCL
20 Buffer (pH 8.0), 100 mM KCl. For ALDH2 the solution should contained 100 mM sodium pyrophosphate (pH 9.0). For AldA and AldB, the solution should contain 20 mM sodium glycine (pH 9.5). A total of 3.0 mL of buffer should be added to quartz cuvettes and allowed to equilibrate to assay temperature. From 5 to 20 µL of cell extract should be added and background activity recorded after the addition of β -NAD⁺ to a
25 final concentration of 0.67 mM. The reaction should be started by the addition of substrate (either acetaldehyde, propionaldehyde, or 3 - hydroxypropionaldehyde) to a final concentration of 2 mM. Assay mixtures should be stirred with micro-stirrers during the assays.

For aldehyde dehydrogenase activity assays, one unit is defined as the reduction

of 1.0 μ M of β -AND⁺ per minute at 25° C. These reactions can be monitored by following the change in absorbance at 340 nm (A_{340}) at 25°C on a Varian Carry-1 Bio spectrophotometer (Sugar Land, TX). Total protein concentrations in the cell extracts can be determined using the Bradford assay method (Bio-Rad, Hercules, CA) with
 5 bovine serum albumin as the standard.

EXAMPLES

Plasmid constructions.

Klebsiella pneumoniae expresses glycerol dehydratase, an enzyme that catalyzes the conversion of glycerol to 3 - hydroxypropionaldehyde, (*dhaB*) and 1,3 -
 10 propanediol oxidoreductase an enzyme that catalyzes the conversion of 3 - hydroxypropionaldehyde to 1,3 - propanediol respectively (the gene product from *dhaT*). A plasmid encoding these two genes was created and expressed in *E. coli* (plasmid pTC53). The *dhaT* gene was deleted from pTC53 to create pMH34. The resulting plasmid still contained the DNA sequence complementary to base pairs 330 to
 15 2153 inclusion of SEQ ID NO : 9, the complement of base pairs 2166 to 2591, inclusive, of SEQ ID NO : 9, and the complement of base pairs 3191 to 4858, inclusive, of SEQ ID NO : 9, so as to code for the expression of glycerol dehydratase. The fragment of DNA encoding these sequences was excised from pMH34 by cutting it with *Sall*-*XbaI*, and the resulting fragment was gel purified (the purified fragment was gift
 20 from M. Hoffman of the University of Wisconsin - Madison). This DNA fragment was inserted into the *Sall*-*XbaI* site of pPFS13 to give pPFS17.

The resulting plasmid contained both the *aldA* and *dhaB* genes under the control of the *trc* promoter. Similarly, the gel-purified *Sall*-*XbaI* fragment from pMH34 was inserted into the *Sall*-*XbaI* sites of pPFS14, pPFS15, and pPFS16 to give pPFS18,
 25 pPFS19, and pPFS20, respectively. These plasmids contained *ALD4*, *ALDH2*, and *aldB*, respectively, as well as *dhaB* under the control of the *trc* promoter; in all of the constructs the *dhaB* gene were downstream of the gene encoding the aldehyde dehydrogenase.

Expression in *E. coli*.

The efficacy of *E. coli* as a platform for the production of 3-HP from growth on glucose has been examined using a mathematical model developed for this purpose. The model was executed in two different ways assuming the conversion of one mole of glucose under either anaerobic or aerobic conditions either directly to 3-HP or to the production of 3-HP and ATP. The optimum yield under anaerobic conditions is 1 mole of 3-HP and 1 mole of lactate. The more realistic yield under anaerobic conditions is 0.5 moles of 3-HP, 1.5 moles of lactate and 1 mole of ATP. The optimum yield under aerobic conditions is 1.9 moles of 3-HP and 0.3 moles of CO₂. The realistic yield under aerobic conditions is 1.85 moles of 3-HP, 0.35 moles of CO₂ and 1 mole of ATP.

The effect of 3-HP concentration on *E. coli* strain MG1655 growth was measured. Cells were grown on standard media with and without the addition of up to 80g/L of 3-HP. The best fit of these data demonstrated that 3-HP was only 1.4 times as inhibitory as lactic acid on the growth of *E. coli*. It is possible to economically produce lactic acid using *E. coli*, since 3-HP is only 1.4 times more inhibitory than lactic acid, it should be possible to use *E. coli* as a host for the commercial production of 3-HP.

Media and growth conditions

The standard media contained the following per liter: 6 g Na₂HPO₄, 3 g KH₂PO₄, 1 g NH₄Cl, 0.5 g NaCl, 3 mg CaCl₂, 5 g yeast extract (Difco Laboratories, Detroit, MI) and 2 mM MgSO₄. When necessary to retain plasmids ampicillin (100 mg/mL) was added to the media. Isopropyl-β-thiogalactopyranoside (IPTG) was added in varying amounts to induce gene expression. All fermentations were carried out in an incubator-shaker at 37 C and 200 rpm. Anaerobic fermentations were carried out in 500-mL anaerobic flasks with 300 mL of working volume. Inocula for fermentations were grown overnight in Luria-Bertani medium supplemented with ampicillin is necessary. The 300-mL fermentations were inoculated with 1.5 mL of the overnight culture. For enzyme assays, fermentations were incubated for 24 hours.

Over expression of aldehyde dehydrogenase in *E. coli*.

Cells were harvested by centrifugation at 3000 x g for 10 minutes at 4°C with a

Beckman (Fullerton, CA) model J2-21 centrifuge. Cell pellets were washed twice in 100 mM potassium phosphate buffer at pH 7.2 and re-suspended in appropriate assay resuspension buffer equal to 5 x of the volume of the wet cell mass. The cells were homogenized using a French pressure cell. The homogenate was centrifuged at 40000 x 5 g for 30 minutes. The supernatant was dialyzed against the appropriate resuspension buffer using 10000 molecular weight cut-off pleated dialysis tubing (Pierce, Rockford, IL) at 4°C. Dialysis buffer was changed after 2 hours, and 4 hours, and dialysis was stopped after being allowed to proceed overnight.

E. coli AG1 cells transfected with the plasmids constructed to express the *aldA*,
10 *ALD4*, *ALDH2*, or *aldB* genes were grown in 500-mL anaerobic flasks. Twelve hours after the fermentations were inoculated IPTG was added to induce enzyme expression. The cells were allowed to grow for an additional 12 hours then harvested and lysed as discussed above. The soluble fraction of the lysate was assayed for aldehyde dehydrogenase activity using the substrate 3-hydroxypropionaldehyde in the buffer
15 appropriate for the particular enzyme expressed. The plasmid, aldehyde dehydrogenase expressed and specific activity measured (U/mg of protein) were as follows: pPFS13, *aldA*, 0.2; pPFS14, *ALD4*, 0.5, pPFS15, *ALDH2*, 0.3; and pPFS16, *aldB*, 0.1. The control, *E. coli* strain AG1 harboring plasmid pSE380, encoded no exogenous aldehyde dehydrogenase activity and it had no detectable activity with 3-HP as substrate. It is
20 clear from the activity assays that all four aldehyde dehydrogenases were expressed in *E. coli*. The aldehyde dehydrogenase cloned from *Saccharomyces cerevisiae* (*ADH4*) had the highest activity when 3-hydroxypropionaldehyde was used as the substrate (0.5 units/mg of protein).

E. coli cells transformed with plasmids expressing: aldehyde dehydrogenase;
25 both aldehyde dehydrogenase and glycerol dehydratase, or neither gene; were grown and assayed for their ability to produce 3-HP from glycerol. The cells were grown on standard media supplemented with 6 µM of Coenzyme B₁₂, under anaerobic conditions in the absence of light (to protect the integrity of the Coenzyme B₁₂ necessary for DhaB activity). After 12 hours, IPTG was added to induce expression of the genes under the
30 *trc* promoter at the same time 5g/L of glycerol was added. After 12 more hours of anaerobic fermentation the fermentation broth was assayed for 3 - HP by HPLC and GC,

the plasmid, aldehyde dehydrogenase gene expressed and g/L of 3- HP measured were as follows: pSF17, *aldA*, 0.031; pPSF18 *ALD4*, 0.173; and pPSF19, *ALDH2*, 0.061. Cells expressing *dhaB* but no exogenous aldehyde dehydrogenase genes (plasmid pMH34) produced 0.015 g/L of 3 - HP. Cells expressing *aldA*, *ALD4*, *ALDH2* or *aldB* but not *dhaB* (plasmids pPFS13, pPFS14, pPFS15, pPFS16, respectively) all produced less than 0.005 g/L of 3-HP when the media the cells were growing in was supplemented with 2.5g/L of 3-hydroxypropionaldehyde.

Other Hosts and Promoters

Applications of the 3 - hydroxypropionic acid pathway such as the genetic constructs of the present invention can easily be expressed in other organisms. The required genes would need to be placed under control of an appropriate promoter or promoters. Some organism such as yeasts may require transcription terminators to be placed after each transcribed unit. The knowledge of the present intention makes such amendments possible. Such a genetic construct would need to be part of a vector that could either replicate in the new host or integrate into the chromosome of the new host. Many such vectors are commercially available for expression in gram-negative and gram-positive bacteria, yeast, mammalian cells, insect cell, plant, etc. For example, to express the 3-hydroxypropionic acid pathway in *Rhodobacter capsulatus*, one could obtain vector pNH2 from the American Type Culture Collection (ATTC). This is a shuttle vector for use in *R. capsulatus* and *E. coli*. Organisms such as *Saccharomyces cerevisiae* which can convert glucose to glycerol could be used as a host, such a construct would enable the production of 3 - HP directly from glucose. Additionally, other substrates such as xylan could also be used given the selection of an appropriate host.

Stoichiometric analysis shows that best stoichiometric yield of 3-HP production in *E. coli* calculated on the basis of glucose consumed is obtained under aerobic conditions. Under aerobic condition CO₂ is the only carbon-containing co-product, in particular the generation of lactic acid which occurs under anaerobic conditions is avoided. Production of 3-HP under these conditions could result in a more economical recovery of 3-HP from the fermentation broth.

Alternatively, the *dhaB* gene and a gene encoding the appropriate aldehyde dehydrogenase could be cloned into the multiple cloning site of this vector in *E. coli* to facilitate construction, and then transformed into *R. capsulatus*. The *R. capsulatus* *nifH* promoter, provided on the plasmid, could be used to direct the transcription in *R.*

- 5 *capsulatus* of the genes placed into pNF2 in series with one promoter, or with two copies of the *nifH* promoter. Expression of the genes in other organisms would require a procedure analogous to that presented here.

Alternative Aldehyde Dehydrogenases and Glycerol Dehydratases

- Applications of the pathway for the production of 3-hydroxypropionic acid from
10 glycerol can be made using other suitable aldehyde dehydrogenases. To be functional in this pathway an aldehyde dehydrogenase needs to be stable, readily expressed in the host of choice and have high enough activity towards 3-hydroxypropionaldehyde to enable it to make 3-HP. The knowledge of the present invention makes such amendments possible. A program of directed evolution could be undertaken to select
15 for suitable aldehyde dehydrogenases or they could be recovered from native sources, the genes encoding these enzymes in conjunction with a gene encoding an appropriate glycerol dehydratase activity, would then be made part of any of the constructs envisioned here to produce 3 - hydroxypropionic acid from glycerol.

- A similar program of enzyme improvement including for example directed
20 evolution could be carried out using the *dhaB* gene from *Klebsiella pneumoniae* as a starting point to obtain other variants of glycerol dehydratase that are superior in efficiency and stability to the form used in this invention. Alternatively, enzymes which catalyzes the same reaction may be isolated from others organisms and used in place of the *Klebsiella pneumoniae* glycerol dehydratase. Such enzymes may be especially
25 useful in alternative hosts wherein they may be more readily expressed, be more stable and more efficient under the fermentation conditions best suited to the growth of the construct and the production and recovery of 3-HP.

CLAIM OR CLAIMS

I/WE CLAIM:

1. A method for producing 3-hydroxypropionic acid comprising the steps of
providing in a fermenter a recombinant microorganism which expresses genes
5 for non-native enzymes which are capable of catalyzing the production of 3-
hydroxypropionic acid from glycerol;
providing a source of glycerol or glucose for the recombinant microorganism,
and
fermenting the microorganism under conditions which result in the accumulation
10 of 3-hydroxypropionic acid.
2. A method for producing 3-hydroxypropionic acid comprising the steps of
providing in a fermenter a recombinant microorganism which carries genetic
constructions for the expression of a glycerol dehydratase and an aldehyde
dehydrogenase which are capable of catalyzing the production of 3-hydroxypropionic
15 acid from glycerol;
providing a source of glycerol or glucose for the recombinant microorganism,
and
fermenting the microorganism under conditions which result in the accumulation
of 3-hydroxypropionic acid.

3. A method for producing 3-hydroxypropionic acid comprising the steps of providing in a fermenter a recombinant microorganism which carries a genetic construct which expresses the *dhaB* gene from *Klebsiella pneumoniae* and a gene for an aldehyde dehydrogenase, which are capable of catalyzing the production of 3-hydroxypropionic acid from glycerol;
- 5 providing a source of glycerol or glucose for the recombinant microorganism, and fermenting the microorganism under conditions which result in the accumulation of 3-hydroxypropionic acid.
- 10 4. The method of claim 3 wherein the gene for the aldehyde dehydrogenase is selected from the group consisting of *ALDH4*, *ALD2*, *aldA* and *aldB*.
5. The method of claim 3 wherein the aldehyde dehydrogenase is selected from the group consisting of SEQ ID NO:2, SEQ ID NO:4, SEQ ID NO:6 and SEQ ID NO:8.
- 15 6. A recombinant *E. coli* host comprising in its inheritable genetic materials foreign genes encoding a glycerol dehydratase and an aldehyde dehydrogenase, such that the host is capable of producing 3-hydroxypropionic acid from glycerol.
7. A recombinant *E. coli* host comprising in its inheritable genetic materials the *dhaB* gene from *Klebsiella pneumoniae* and the *ald4* gene from *Saccharomyces cerevisiae*, such that the host is capable of producing 3-hydroxypropionic from glycerol.

8. A bacterial host comprising in its inheritable genetic material a genetic construction encoding for the expression of a glycerol dehydratase enzyme and an aldehyde dehydrogenase enzyme, such that the bacterial host is capable of converting glycerol to 3-hydroxypropionic acid.

5 9. The bacterial host of claim 8 wherein the glycerol dehydratase from *Klebsiella pneumoniae*.

10 10. The bacterial host of claim 8 wherein the gene encoding the glycerol dehydratase is the *dhaB* gene from *Klebsiella pneumoniae*.

10 11. The bacterial host of claim 8 wherein the aldehyde dehydrogenase is selected from the group consisting of SEQ ID NO:2, SEQ ID NO:4, SEQ ID NO:6 and SEQ ID NO:8.

12. The bacterial host of claim 8 wherein the gene for the aldehyde dehydrogenase is selected from the group consisting of *ALDH4*, *ALD2*, *aldA* and *aldB*.

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Cameron, Douglas C.

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Leu Cys Gly Gly Gly Ile Ala Ala Asp Arg Gly Tyr Phe Ile Gln Pro
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Thr Val Phe Gly Asp Val Gln Asp Gly Met Thr Ile Ala Lys Glu Glu
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Ile Phe Gly Pro Val Met Gln Ile Leu Lys Phe Lys Thr Ile Glu Glu
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Pro Phe Gly Gly Tyr Lys Met Ser Gly Ser Gly Arg Glu Leu Gly Glu
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25 His Pro Met Tyr Ile Asp Gly Gln Phe Val Thr Trp Arg Gly Asp Ala
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5	Pro Asp Gly Gln Ala Glu Asp Ala Arg Lys Ala Ile Asp Ala Ala Glu	
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	Arg Ala Gln Pro Glu Trp Glu Ala Leu Pro Ala Ile Glu Arg Ala Ser	
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	Pro Trp Asn Phe Pro Phe Phe Leu Ile Ala Arg Lys Met Ala Pro Ala	
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	Leu Leu Thr Gly Asn Thr Ile Val Ile Lys Pro Ser Glu Phe Thr Thr	
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	Glu Leu Ala Gly Asn Pro Lys Val Ala Met Val Ser Met Thr Gly Ser	
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	Ile Asn Ser Gly Gln Val Cys Asn Cys Ala Glu Arg Val Tyr Val Gln	
	280 285 290	
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	Lys Gly Ile Tyr Asp Gln Phe Val Asn Arg Leu Gly Glu Ala Met Gln	
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	Ala Val Gln Phe Gly Asn Pro Ala Glu Arg Asn Asp Ile Ala Met Gly	
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	Arg Ala Val Glu Glu Gly Ala Arg Val Ala Phe Gly Gly Lys Ala Val	
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	Glu Gly Lys Gly Tyr Tyr Tyr Pro Pro Thr Leu Leu Leu Asp Val Arg	
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	Ala Met Lys Ala Ile Lys Gly Leu Lys Phe Gly Glu Thr Tyr Ile Asn	
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25	cgt gaa aac ttc gaa gct atg caa ggc ttc cac gcc gga tgg cgt aaa	1398
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Ala Ile Asp Ala Ala Glu Arg Ala Gln Pro Glu Trp Glu Ala Leu Pro
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Ala Ile Glu Arg Ala Ser Trp Leu Arg Lys Ile Ser Ala Gly Ile Arg
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Glu Arg Ala Ser Glu Ile Ser Ala Leu Ile Val Glu Glu Gly Gly Lys
 85 90 95

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	Gly Glu Thr Val Gly Gln Glu Leu Ala Gly Asn Pro Lys Val Ala Met		
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	Ala Ala Lys Asn Ile Thr Lys Val Cys Leu Glu Leu Gly Gly Lys Ala		
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	Pro Ala Ile Val Met Asp Asp Ala Asp Leu Glu Leu Ala Val Lys Ala		
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	Leu Leu Leu Asp Val Arg Gln Glu Met Ser Ile Met His Glu Glu Thr	
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	Phe Gly Pro Val Leu Pro Val Val Ala Phe Asp Thr Leu Glu Asp Ala	
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15	Thr Gln Asn Leu Asn Val Ala Met Lys Ala Ile Lys Gly Leu Lys Phe	
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	Gly Glu Thr Tyr Ile Asn Arg Glu Asn Phe Glu Ala Met Gln Gly Phe	
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Lys Pro Gly Glu Tyr Gly Phe Pro Leu Lys Leu Lys Ala Arg Tyr Asp
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15 aac ttt att ggc ggc gaa tgg gta gcc cct gcc gac ggc gag tat tac 147
Asn Phe Ile Gly Gly Glu Trp Val Ala Pro Ala Asp Gly Glu Tyr Tyr
              30              35              40

cag aat ctg acg ccg gtg acc ggg cag ctg ctg tgc gaa gtg gcg tct 195
Gln Asn Leu Thr Pro Val Thr Gly Gln Leu Leu Cys Glu Val Ala Ser
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tcg ggc aaa cga gac atc gat ctg gcg ctg gat gct gcg cac aaa gtg 243
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	Arg Ala Gln Glu Gly Gly Ile Ser Glu Val Asp Ser Glu Thr Val Ala	
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	Gly Gln Gln Ile Met Gln Tyr Ala Thr Gln Asn Ile Ile Pro Val Thr	
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95

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115

120

125

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Ile Ser Glu Val Asp Ser Glu Thr Val Ala Tyr His Phe His Glu Pro

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15 QBMA0223318

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US00/23878

A. CLASSIFICATION OF SUBJECT MATTER

IPC(7) : C12P 7/22; C12N 9/02, 9/14, 1/20, 15/00

US CL : 435/155, 189, 195, 252.3, 320.1

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 435/155, 189, 195, 252.3, 320.1

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

West; STN files included medline, caplus, uspatfull, embase, scisearch, biosis and biotechds

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	TANG et al. Immunochemical Properties of NAD ⁺ - Linked Glycerol Dehydrogenases from Escherichia coli and Klebsiella pneumoniae. Journal of Bacteriology. December 1982, Vol. 152, No. 3, pages 1169-1174, see the entire article.	1-12
A	BARBIRATO et al. Anaerobic pathways of glycerol dissimilation by Enterobacter agglomerans CNCM 1210: limitations and regulations. Microbiology. 1997, Vol. 143, No. 7, pages 2423-2432.	1-12

☐ Further documents are listed in the continuation of Box C. ☐ See patent family annex.

* Special categories of cited documents:	*T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
A document defining the general state of the art which is not considered to be of particular relevance	*X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
B earlier document published on or after the international filing date	*Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
L document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	*Z* document member of the same patent family
O document referring to an oral disclosure, use, exhibition or other means	
P document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search 16 OCTOBER 2000	Date of mailing of the international search report 20 NOV 2000
Name and mailing address of the ISA/US Commissioner of Patents and Trademarks Box PCT Washington, D.C. 20231 Facsimile No. (703) 305-3230	Authorized officer TEKCHAND SAIPHA Telephone No. (703) 308-0196